

# RuL AG

## Rohstoff und Logistik Aktiengesellschaft

### Counterparty on boarding and KYC form

#### Preliminary Note

Dear business partner,

International and national policies, laws and measures for combating money laundering, terrorist financing and other related threats to the integrity of the international financial system include corresponding obligations and due diligence for companies and business organizations. This obligations are part of the requirements for a proper conduct if business.

In this context and with regard to the intended or existing business relationship, it is necessary for RuL AG to be informed about existing or intended business partners.

RuL AG is very concerned about the current energy market and environmental crisis and therefore strives to stabilize the energy supply as well as the positive impact of its operations. Therefore, RuL AG would like to be informed about its business partners, their business activities and sustainability policy of your company and the performance in the relevant areas of our business partnership.

All information provided in this form are kept stricly confidential. No information will be disclosed to any third party except for authorithies, companies and people expressly authorized to receive this informations.

Company name:	
Country:	
Address:	
Phone:	
Email:	
Website:	
Legal entity identifier:	
VAT-No.	

#### 1. Documents to be attached

Please provide us with a copy of the following documents:

- Articles of association/charter or similar document issued in the country of the companies origin;
- Document confirming the persons authority to represent the company and sign the contract;
- Registration certificate in the form prescribed in the country of the company's origin;
- Extract from the commercial register of the country where the company is registered

- License to conduct corresponding business activities, issued or used in the country of the company's origin;
- Certificate of company's VAT rate, company's corporate tax or any withholding tax, meaning any tax or any other issued by responsible authority and duly legalized;
- VAT exemption certificate;
- Latest financial statement:
- Audited annual or interim report;
- Bank details;
- Supplier CSR code;
- Sustainability certifications, if available;
- Annual sustainability reports, if available;
- Code of conduct, if available.

## 2. Company information

Please complete the following table

Date of incorporation:	
Number of employees:	
Countries, where the company is active:	
Main business of the company:	
Overview of the ultimate beneficial owner(s):	
Independent auditor and contact person:	

Please give an overview of the shareholder structure (X>10%)

Is there anything that we should be aware of with regard to social incidents/accidents, that might have impact on our business relationship?

### 3. Contact information

Function:	Last name/first name:	E-mail:
Operations:		
Finance:		
Commercial:		
Legal:		
Sustainability:		

### 4. Signatures

The undersigned declares in good faith, that all information's, answers and documents provided with this form are exact, authentic and complete.

Confirmation		
Place:	Date:	Print name:
Company stamp and legally binding signature:		
Place:	Date:	Print name:
Company stamp and legally binding signature:		